



4-14-04

Docket No. 8092/SYNX/JB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Inventor(s): Michael R. Rice, Martin R. Elliott, Robert B. Lowrance, Jeffrey C. Hudgens and Eric A. Enghardt
Title: OVERHEAD TRANSFER FLANGE AND SUPPORT FOR SUSPENDING A SUBSTRATE CARRIER
Serial No.: 10/764,820
Filed: January 26, 2004

Transmitted herewith is:

☒ PTO Form 1449 and Information Disclosure Statement with five cited references.

☒ Return Postcards

| FEE CALCULATION | | | | | |
|--------------------|--------------|-------------------------|--------------|-----------|--------|
| Fee Items | Claims Filed | Included With Basic Fee | Extra Claims | Fee Rate | Total |
| Total Claims | N/A | - 20 = | -0- | X \$18.00 | \$0.00 |
| Independent Claims | N/A | - 3 = | -0- | X \$84.00 | \$0.00 |
| Basic Filing Fee | | | | \$740.00 | \$0.00 |
| TOTAL FEES | | | | | \$0.00 |

☐ The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

☒ Please address all future correspondence to:
**PATENT COUNSEL
APPLIED MATERIALS, INC.
Legal Affairs Department
P.O.BOX 450A
Santa Clara, CA. 95052**

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail Receipt No. EV403024965US

Date of Deposit: 4/13/04

Signature: [Signature]

Respectfully submitted,

[Signature]
Brian M. Dugan
Registration No. 41,720
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PATENTS
8092/SYNX/JB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Michael R. Rice, Martin R. Elliott, Robert
B. Lowrance, Jeffrey C. Hudgens and Eric A.
Englhardt

Serial No. : 10/764,820

Filed : January 26, 2004

For : OVERHEAD TRANSFER FLANGE AND SUPPORT FOR
SUSPENDING A SUBSTRATE CARRIER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to the
following references:

U.S. Patent No. 5,048,164, Harima

U.S. Patent No. 5,603,777, Ohashi

U.S. Patent No. 6,439,822 B1, Kimura et al.

Foreign Art Reference No. JP 10-256346 (JP)

United States Patent Application Publication No. US
2002/0090282 A1, Pub. Date 7/11/2002.



These references are also listed on the accompanying
Information Disclosure Statement (Form PTO-1449).

Consideration of the foregoing in relation to this
patent application is respectfully requested.

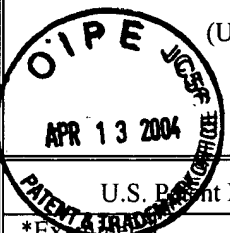
Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Brian M. Dugan".

Brian M. Dugan, Esq.
Registration No. 41,720
Dugan & Dugan, PC
Attorneys for Applicants
(914) 332-9081

Dated:

4/13/04
Tarrytown, New York

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|---|--|--|--|---------------------------------------|--|---------------------------|--|
| U.S. Department of Commerce, Patent and Trademark Office LIST OF RELEVANT ART CITED BY APPLICANT (Use several sheets if necessary) | | | | Docket No.: 8092/SYNX/JB | | Serial No.: 10/764,820 | |
| <div style="text-align: center;">  </div> | | | | Applicants: Michael R. Rice, et al | | | |
| | | | | Filing Date: January 26, 2004 | | Group: | |

| U.S. Patent Documents | | | | | | | |
|-----------------------|-----------------|--------------|----------|---------------|----------|----------------------------|--|
| *Examiner Initial | Document Number | Issue Date | Name | Class | Subclass | Filing Date If Appropriate | |
| | US-1 | 5,048,164 | 09/17/91 | Harima | | | |
| | US-2 | 5,603,777 | 02/18/97 | Ohashi | | | |
| | US-3 | 6,439,822 B1 | 08/27/02 | Kimura et al. | | | |
| | US-4 | | | | | | |
| | US-5 | | | | | | |
| | US-6 | | | | | | |
| | US-7 | | | | | | |
| | US-8 | | | | | | |
| | US-9 | | | | | | |
| | US-10 | | | | | | |
| | US-11 | | | | | | |

| Foreign Patent Documents | | | | | | | Translation | |
|--------------------------|--------------|----------|-------|----------|---------------|----|-------------|--|
| Document Number | Date | Country | Class | Subclass | Yes | No | | |
| F-1 | JP 10-256346 | 09/25/98 | JP | | Abstract only | | | |
| F-2 | | | | | | | | |
| F-3 | | | | | | | | |
| F-4 | | | | | | | | |
| F-5 | | | | | | | | |

| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) | |
|--|--|
| OT-1 | United States Patent Application Publication No. US 2002/0090282 A1, Pub. Date 7/11/2002 |
| OT-2 | |
| OT-3 | |

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| Examiner | Date Considered |
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.